



Telecommunication Act – 2001
(Act 2001-36)

FORM 1

TU001

**APPLICATION FOR A MOBILE TELECOMMUNICATIONS
NETWORK CARRIER LICENCE**

PART A: APPLICANT INFORMATION

(Please read the Notes provided at the back of this Form before completion)

1. Name (if individual):.....

2. Nationality (if individual):.....

3. Address of Applicant (registered office or principal place of business):

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4. Mailing Address (if different from registered office address):

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.....

5. Applicant is a (n):

Individual Corporation Unincorporated Association Partnership

Please indicate whether this is: a new application an application to modify an existing licence

an application to renew a licence

6. Telephone No.:..... 7. Facsimile No.:

8. "E-mail" address:.....

9. Name of Manager of entity:.....

10. Person in Barbados to be contacted in absence of Manager:

Name:.....

Address:.....

.....

Telephone No:..... "E-mail" Address:.....

11. Facsimile No:.....

12. Indicate whether the applicant is a member of the GSM Association Yes No

13. Indicate

(a) the approximate number of persons currently or to be employed by applicant:

(b) the number of persons you expect to hire within the next year specific to the proposed network:

(c) the number of technical persons who are providing the mobile service and the full complement of technical staff to be employed:

Yes No

PART B: SYSTEMS AND SERVICE DETAILS

14. General description of proposed telecommunications network:

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15. Proposed service area coverage:

Indicate whether any of this coverage area is currently unserved by mobile service: Yes No

If Yes, please describe:

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.....

16. Indicate proposed network operational date (if operation is to be phased, please indicate phases):

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17. Targeted customer base and approximate number of customers applicant's network is capable of serving:

.....

Please describe the ability of the applicant's proposed network to expand to serve an increasing number of subscribers and the estimated costs to the applicant of the expansion.

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18. Indicate whether the applicant, or any affiliate of the applicant, currently has a Mobile Service Provider Licence.

Yes No

If Yes, identify the Licensee and the date issued by the Minister:

Licensee: Date of Issuance:

Indicate

(a) whether the applicant intends to apply for a Mobile Service Provider Licence?

(b) when the applicant expects to submit an application for a Mobile Service Provider Licence?

19. Indicate

(a) the name under which the applicant proposes to operate the mobile network in Barbados:

(b) whether the applicant, or any affiliate of the applicant, operated a mobile telecommunications network or provided mobile telecommunications services to the public in Barbados or in CARICOM countries or in any other country under the proposed name or any other name.

Yes No

If Yes, provide

(a) the other name used; and

(b) the countries in which the services were provided under that name.

20. Indicate

(a) whether equipment needed by customers for access to a mobile service is readily available;

(b) when equipment will be available to customers;

(c) how customers will obtain equipment required for the service.

PART C: BASIC QUALIFICATIONS INFORMATION

21. (1) Indicate whether the applicant, or any affiliate of the applicant,

(a) has ever applied for a Service Provider or Carrier Licence in Barbados; Yes No

(b) has ever been refused such licence or a licence renewal; Yes No

(c) has ever had such licence suspended or revoked. Yes No

(2) Where the answer to (a), (b), or (c) is "Yes", please attach an explanation.

22. Indicate whether the applicant, or any affiliate of the applicant currently holds any Service Provider or Carrier Licence in Barbados. Yes No

If Yes:

(a) specify the name of the licensee, the type of licence and the date issued:

.....
.....

(b) specify the period in which the applicant, or any affiliate of the applicant has been providing telecommunications service or operating the telecommunications network in Barbados.

.....

23. (1) Indicate whether the applicant, or any affiliate of the applicant.

(a) has ever applied for a Spectrum Licence in Barbados: Yes No

(b) has ever been refused such licence or a licence renewal: Yes No

(c) has ever had such licence suspended or revoked: Yes No

(2) Where the answer to (a), (b), or (c) is "Yes", please attach an explanation.

24. Indicate whether the applicant, or any affiliate of the applicant, currently holds Mobile Telecommunications or a Personal Communications System Spectrum Licence in Barbados.

Yes No

If Yes,

(a) Specify the name of the licensee, the type of licence and the date issued:

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(b) Specify the period in which the applicant, or any affiliate of the applicant has been providing telecommunications service or operating the telecommunications network in Barbados.

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If no, is the applicant submitting an application for spectrum required to operate the network proposed in this application?

If the applicant has submitted such application, please give the date submitted:

If such application has not been submitted, state when an application is likely to be submitted:

25. Indicate whether the applicant, or any affiliate of the applicant currently holds any Spectrum Licence in Barbados other than identified in item 24:

Yes No

If Yes,

(a) Specify the name of licensee, the type of licence and the date issued;

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(b) Specify the period in which the applicant, or any affiliate of the applicant has been providing telecommunications service or operating the telecommunications network in Barbados.

26. Indicate whether the applicant, or any affiliate of the applicant currently has a Dealer's Licence.

Yes No

If "Yes", identify the Licensee and the date issued by the Minister:

Licensee: Date of Issuance:

Indicate

(a) whether the applicant intends to apply for a Dealer's Licence?

(b) when the applicant expects to submit an application for a Dealer's Licence?

27. Indicate whether the applicant, or any affiliate of the applicant currently holds a licence or authorisation to operate a mobile telecommunications network in other countries.

Yes No

If yes, for approximately how many years has the applicant engaged in operating a mobile telecommunications network

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28. Indicate whether the applicant, or any affiliate of the applicant, currently holds licences or authorisations to provide mobile telecommunications networks in the Caribbean.

Yes No

If Yes, please identify the countries in the space provided below and the approximate number of years the applicant has engaged in operating mobile telecommunications networks.

Applicant or Affiliate to the Application	Country	Number of years Party operating network
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.....

29. (1) Indicate whether the applicant, of any affiliate of the applicant for a telecommunications service or network in any Caribbean country

- (a) has ever been refused such licence;
- (b) has ever had such licence suspended or revoked.

(2) Where the answer to (a) or (b) is “Yes”, please attach an explanation.

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30. Indicate whether the applicant, or any affiliate of the applicant currently holds any licences or authorisations to operate a mobile network.

Yes No

Describe in respect of the applicant and staff of the applicant

- (a) the experience in operating a mobile network;
- (b) the training, qualifications and experience of personnel required to perform or supervise technical services

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31. (1) Indicate whether the applicant, or any affiliate of the applicant ever had any telecommunication licence or authorisation suspended or revoked in any country.

Yes No

If yes, please attach an explanation.

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32. (1) Indicate whether the applicant, or any affiliate of the applicant has ever been convicted of an arrestable offence*

- (a) in Barbados;
- (b) in any CARICOM Member Country;
- (c) in North America;
- (d) in any other Country.

(2) If yes, please attach an explanation.

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.....

* an arrestable offence has the meaning assigned to it by section 2 of the Criminal Law (Arrestable Offences) Act, Cap. 125A.

33. (1) Indicate whether any officer or member of the applicant's managerial staff has ever been convicted of an arrestable offence*

(e) in Barbados;

(f) in any CARICOM Member Country;

(g) in North America;

(h) in any other Country.

(2) If yes, please attach an explanation.

.....
.....

34. Indicate whether the applicant, or any of the applicant's officers and managerial staff are currently the subject of a charge or indictment for an offence under the law of any country (excluding non-arrestable offences).

Yes No

If Yes, please identify the name of the Licensee and the Licence held.

Licensee

Licence

35. Indicate whether the applicant, or any affiliate of the applicant has any shareholdings over 10% in any other Licensed Service Provider, Licensed Carrier, or Licence Spectrum User in Barbados.

Yes No

If Yes, please identify the name of the Licensee and the Licence held.

Licensee

Licence

36. Indicate whether the applicant, or any affiliate of the applicant, has any shareholdings over 10% in any licensed telecommunications provider in any CARICOM member state.

Yes No

If Yes, please identify the name of the Licensee and the Licence held.

Licensee

Licence

37. Indicate whether the applicant, or any affiliate of the applicant, is engaged in the manufacturing of any telecommunications equipment

Yes No

* an arrestable offence has the meaning assigned to it by section 2 of the Criminal Law (Arrestable Offences) Act, Cap. 125A.

If yes, please attach an explanation.

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38. Indicate whether the applicant has any business activities outside the telecommunications market.

Yes No

If yes, please briefly describe the nature of the business activities and where such activity is conducted.

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PART D: FINANCIAL INFORMATION AND QUALIFICATIONS

39. Applicant must provide a copy of its most recent annual independently audited financial report as well as the most recent unaudited financial reports. If none is available, applicant must submit an attachment explaining why none is available. In addition, the applicant or an officer of the applicant's organization must attest to the validity of the unaudited financial reports. Where the applicant is a consortium all members of the consortium must supply individual financials.

40. Applicant must submit an explanation of estimated costs of construction, operation and other expenses that the applicant may realistically incur during the first 5 years of operation under this licence.

41. Applicant must demonstrate that it has the financial resources to construct and operate the network for the first 2 years of operation under this licence and must provide information or verification of its creditworthiness, available capital, and source of financing including, if applicable, a lender's statement committing to providing a specified sum.

42. Indicate whether the applicant or any affiliates of the applicant has ever been bankrupt or ever been a director of a company that has become bankrupt or insolvent.

Yes No

If Yes, please attach an explanation and a certified copy of the discharge.

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43. Indicate whether the applicant, or any affiliates of the applicant is currently engaged in bankruptcy proceeding

Yes No

If Yes, please attach an explanation.

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44. Please attach a diagram of the corporate structure of the applicant including any parent, affiliate, subsidiary of the applicant and any part of interest in the applicant.

45. Indicate whether any members of the applicant's board of directors also serve on the board of any other entity licensed as a carrier or as a service provider in Barbados.

If yes, please state the name the director(s) and the name of the licensed entity and the licence held by the entity.

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46. Please provide the names and addresses of all the members of the applicant's board of directors.

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47. Where any member of the applicant's board of directors is currently employed by any government please state the name of each such director, the country each such director is employed by, and the ministry or government agency, and the nature of the director's work for that government.

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48. Applicant must attach a Performance Bond or a Banker's Guarantee issued by a reputable bank that

- (a) confirms the financial ability of the applicant to conduct business for at least one year, and
- (b) acts as a Guarantee for a guaranteed sum of the payment of the annual licence fees for a Mobile Service Provider Licence due to the Government for the first 2 years of the applicant's licence.

PART E: TECHNICAL INFORMATION

49. Technical Expertise

Please state the applicant's technical qualifications including the number and types of technical experts the applicant currently employs and whether these are employees of the applicant or contracted by the applicant.

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50. Description of mobile system and design including: (Applicant must provide as Exhibit A):

- technology used (TDMA, CDMA, or the future state of the art technology)
- equipment used and any equipment designated by the Minister as requiring certification
- number of cell sites and number of transmitting antennas
- subscriber capacity
- channels used at each cell site and measures used to prevent call interference
- mobile switching centers
- network performance plan
- signalling plan
- routing plan
- overall efficiency of the proposed system
- ability of equipment to support number portability
- central office codes (NXX) required
- description of how the mobile telecommunications network interconnects with domestic fixed landline network and other networks
- percentage of the geographic area of Barbados covered
- percentage of the adult population of Barbados covered

51. Station Locations and Antenna Structures. (If additional space is needed check here and attach as Exhibit B).

Station 1 Information

Call-Sign	
Location	
Station Address	
Latitude in Degrees-Hours-Minute (N/S)	
Longitude in Degrees- Hours-Minutes (E/W)	
Tower or Building Height (metres)	
Antenna Structure Height (overall height above ground level including building/pole/mast, antennae, obstruction lighting etc. to the highest point, in metres)	
Elevation (metres above mean sea level)	
Radius of Operation	
Type of structure on which antenna(s) located and owner of structure	

Station 2 Information

Call-Sign	
Location	
Station Address	

Latitude in Degrees-Hours-Minutes (N/S)	
Longitude in Degrees-Hours-Minutes (E/W)	
Tower or Building Height (metres)	
Antenna Structure Height (overall height above ground level including building/pole/mast, antennas, obstruction lighting etc. to the highest point, in metres)	
Elevation (metres above mean sea level)	
Radius of Operation	
Type of structure on which antenna(s) located and owner of structure	
Station 3 Information	
Call-Sign	
Location	
Station Address	
Latitude in Degrees-Hours-Minutes (N/S)	
Longitude in Degrees-Hours-Minutes (E/W)	
Tower or Building Height (metres)	
Antenna Structure Height (overall height above ground level including building/pole/mast, antennas, obstruction lighting etc. to the highest point, in metres)	
Elevation (metres above mean sea level)	

Radius of Operation		
Type of structure on which antenna(s) located and owner of structure		
52. Radio Equipment Information		
Station Name		
	Transmitter	Receiver
Manufacturer		
Model		
Serial Number		
Transmitter Power (watts)		
53. Antenna Information		
Manufacturer		
Model		
Serial Number		
Antennae Displacement from Station Location		
North Latitude Displacement (m)		
East Latitude Displacement (m)		
Effective Height		
Height above Ground Level		
Elevation Angle (degrees)		

Beamwidth (3dB)	
Radiation Level	
<p>54. Coverage Area Map. (Attach as Exhibit C)</p> <p>Map Showing:</p> <ul style="list-style-type: none"> (a) entire geographical service area (b) by parish, designated areas served and also any unserved areas (c) cell sites-location of transmitting antennas (d) mobile telephone switching centers 	
<p>PART F: DOCUMENTARY EVIDENCE</p>	
<p>55. The applicant also must provide the following documents:</p> <ul style="list-style-type: none"> (a) Copies of certificate of incorporation or business registration certificate. (b) Most recent annual audited financial report. (c) Documentary evidence to demonstrate the financial capability of the company for the first 2 years of operation. (d) Performance Bond or Bank Guarantee for payment of licence fees for the first two years of the licence. 	
<p>PART G: CERTIFICATION STATEMENT</p>	
<p>The applicant certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are true, complete, correct and made in good faith.</p>	
<p>The applicant agrees to comply with the <i>Telecommunications (Public Telecommunications Licensing) Regulations, 2003</i> with regard to the application procedures and requirements.</p>	
<p>If granted a Licence by the Minister, the applicant agrees to provide the licensed carrier network in accordance with the <i>Telecommunications Act, 2001</i>. The relevant Regulations made under the Act and terms and conditions of the licence. Licensee must pay an annual licence fee.</p>	
<p>The applicant must obtain any other necessary government approvals regarding the construction and use of the proposed network facilities.</p>	
<p>If granted a licence by the Minister, applicant must have the capability of shutting down any transmitters in the event of a malfunction.</p>	

Typed or printed name of party authorised to sign:

Position:

Signature:.....

Date:

NOTES:

1. This Application Form must be completed in its entirety and submitted to the Ministry with the prescribed application fee in accordance with the instructions provided below.
2. The Minister may request the applicant to provide additional information not contained in this application form.
3. Applicants are responsible for complying with the application procedures and requirements set forth in the *Telecommunications (Public Telecommunications) (Licensing) Regulations, 2003* issued by the Minister.
4. Applicants are responsible for complying with other Government requirements regarding construction and use of the proposed network facilities (e.g. Town and Country Planning legislation).
5. To be eligible for filing an application, applicant must comply with all legal requirements for holding a licence.
6. Applicants providing false or misleading information will be subject to penalties in accordance with section 92 of the *Telecommunications Act, 2001-36*.
7. A brief description of the proposed network, but not the submitted application, will be made available for public inspection. Information provided in this application will be treated as confidential.
8. Grant of licence is at the discretion of the Minister. Application fees are not refundable.
9. This application is only a licence to construct, operate, and maintain a mobile telecommunications network. A separate application and licence is required for use of spectrum. The applicant must have both licenses before the applicant can operate the cellular network or use the spectrum required to operate the proposed mobile telecommunications network. The applicant is urged to submit this application and the application for a Spectrum Licence at the same time to expedite the processing. The application form for a Spectrum Licence may be obtained from the Ministry.
10. Any person seeking to provide a mobile service in Barbados must submit a separate application for a Mobile Service Provider Licence. This application form may be obtained from the Ministry.
11. Persons operating without the required licences are subject to the fines and penalties set out in the *Telecommunications Act, 2001-36*.
12. Applicants granted a licence by the Minister will be responsible for paying an annual licence fee in accordance with the *Telecommunications (Licence Fees) Regulations, 2003*.
13. This form may be used also to modify a pending application for a mobile telecommunications network or for an application to modify an existing Mobile Telecommunications Network Carrier Licence. In such cases, the Ministry should be consulted to determine the appropriate modification fee to be submitted.

General Filing Instructions

1. If this is a request for modification for an application pending or modification of a licence, applicant must consult the Ministry for the appropriate modification fee.
2. To be accepted for filing, applications must be signed by a person authorised by the applicant. By signing, the applicant certifies that the information submitted is true, complete, correct, and made in good faith.
3. The applicant shall submit 2 copies of the application, including supporting documentation and the application fee, to:

The Chief Telecommunications Officer
Telecommunications Unit
Ministry responsible for Telecommunications
Barbados

Instructions for Completion

If this is an application to modify a licence, please attach a copy of the original application submitted and if, requesting a licence modification, also attach the licence granted. Where there is no change in the information requested in the application form, please put “No Change” next to the information requested. Where the information requested is not the same, please indicate the modification being proposed and the nature of the change and the reason for the proposed change.

Part A: Applicant Information

Items 8-11: Contact information should be provided for the person the applicant has designated as the person to whom the Ministry should send correspondence.

Item 18: This item pertains to any other business (not mobile) that the applicant may have operated under or carried on business. Marketing or the conduct of business of any mobile services under other names is addressed in item 26.

Items 19: Applicants must identify a real party (parties) in interest if different from the applicant. If the applicant is the real party in interest, enter the applicant’s name. If not, enter the real party in interest (e.g. a parent or other controlling entity) and any other person with an ownership interest over 10%.

The members of the Caribbean Community or CARICOM are: Antigua and Barbuda, Bahamas, Barbados, Belize, Dominica, Grenada, Guyana, Haiti, Jamaica, Montserrat, St. Kitts and Nevis, Saint Lucia, St. Vincent and the Grenadines, Suriname, and Trinidad and Tobago.

Part B: System and Service Details

Items 14-20: Briefly describe the proposed network, the types of services (e.g. voice, voice-mail, data, etc.) it can support, and whether analogue or digital. Please note any unique features.

Item 28: For example, state where customers would be able to purchase mobile handsets or other equipment they need for the applicant’s proposed service.

Part C: Basic Qualifications and Information

Items are self-explanatory.

Part D: Financial Information and Qualifications

Items are self-explanatory

Part E. Technical Information

Items are self-explanatory